## ISOMETRIES OF $L_p$ -SPACES ASSOCIATED WITH SEMIFINITE VON NEUMANN ALGEBRAS

BY

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ABSTRACT. The paper determines the structure of (classes of) linear isometries between  $L_p$ -spaces associated with semifinite normal faithful traces on von Neumann algebras, generalizing works of M. Broise and B. Russo. Also established are some auxiliary results on  $L_p$  norm inequalities which are of interest by themselves.

1. Introduction. Let  $\phi$  (resp.,  $\psi$ ) be a semifinite normal faithful trace on a von Neumann algebra  $\mathfrak A$  (resp.,  $\mathfrak B$ ),  $L_p(\phi)$  (resp.,  $L_p(\psi)$ ) be the  $L_p$ -space associated with  $\phi$  (resp.,  $\psi$ ) (cf. [1], [2], [3] or [4]), and  $\Theta$  a linear isometry from  $L_p(\phi)$  onto  $L_p(\psi)$ . In [5], Russo proved that if  $\phi = \psi$  is finite on  $\mathfrak A = \mathfrak B$  and if p = 1, then for each  $\Theta$  there are a Jordan \*-automorphism  $\Phi$  of  $\mathfrak A$ , a positive selfadjoint  $Z \in L_1(\phi)$  affiliated with the center of  $\mathfrak A$ , and a unitary  $W \in \mathfrak A$  such that for any  $X \in \mathfrak A$  we have  $\Theta(X) = \Phi(X)ZW$  (strong product, cf. [2]). When  $\mathfrak A = \mathfrak B$  is abelian and  $\phi = \psi$ , similar results hold for arbitrary  $p \neq 2$ ,  $p \in (1, \infty)$ , and can be deduced from [7]. In [6] Broise showed that if p = 2 and if  $\Theta[L_2^+(\phi)] = L_2^+(\psi)$  (equivalently  $\Theta[L_2^+(\phi)] \subset L_2^+(\psi)$ , where  $L_2^+$  denotes the positive part of  $L_2$ ), then there are uniquely a Jordan \*-isomorphism  $\Phi$  of  $\mathfrak A$  onto  $\mathfrak B$ , and a positive selfadjoint operator  $Z \in L_2(\psi)$  affiliated with the center of  $\mathfrak B$  such that

$$\Theta(X) = \Phi(X)Z$$
 for every  $X \in \mathfrak{A} \cap L_2(\phi)$ .

It is the purpose of this paper to establish similar results for a rather general class of  $(\mathfrak{A}, \mathfrak{B}, \phi, \psi, p, \Theta)$ . More precisely, we shall prove in §3 the following two theorems.

THEOREM. Suppose  $\Theta[\mathfrak{A}^+ \cap L_p(\phi)] = \mathfrak{B}^+ \cap L_p(\psi)$ ,  $p \in [1, \infty)$ . Then there exist uniquely a Jordan \*-isomorphism  $\Phi$  of  $\mathfrak{A}$  onto  $\mathfrak{B}$ , and a positive selfadjoint operator  $Z \in L_p(\psi)$  affiliated with the center of  $\mathfrak{B}$  such that

$$\Theta(X) = \Phi(X)Z, \qquad X \in \mathfrak{A} \cap L_{p}(\phi).$$

THEOREM. Suppose  $\mathfrak{A},\mathfrak{B}$  are finite  $(\phi, \psi)$  may be semifinite and

$$\Theta\big[\mathfrak{A}\,\cap\,L_p(\phi)\big]=\mathfrak{B}\,\cap\,L_p(\psi),\qquad p\in\big[1,\,\infty)\smallsetminus\{2\}.$$

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Then there are a Jordan \*-isomorphism  $\Phi$  of  $\mathfrak A$  onto  $\mathfrak B$ , a positive selfadjoint operator  $Z \in L_p(\psi)$  affiliated with the center of  $\mathfrak B$ , and a unitary  $W \in \mathfrak B$  such that

$$\Theta(X) = \Phi(X)ZW, \qquad X \in \mathfrak{A} \cap L_{p}(\phi).$$

Some other results in [5] and [6] are also generalized in §3 to the present context.

In order to obtain the above theorems, we shall prove in §2 some results on  $L_p$  norm inequalities which are of interest by themselves [cf. Theorems 1 and 2 of §2 below].

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2.  $L_p$  norm inequalities. In this section we shall establish some auxiliary results needed in §3, of which some special cases are known (cf. [7] and [12]).

THEOREM 1. Let  $S,T \in \mathfrak{A}^+ \cap L_p(\phi)$ .

- (i) Let p > 1. Then  $\phi(S^p + T^p) \leq \phi[(S + T)^p]$ , and equality holds iff ST = 0.
- (ii) Let p > 1. Then  $\phi[(S + T)^p] \le 2^{p-1}\phi(S^p + T^p)$ , and equality holds iff S = T.
- (iii) Let  $p \in (0, 1)$ . Then  $\phi(S^p + T^p) \ge \phi[(S + T)^p]$ . If ST = 0, then we have equality. On the other hand, if we have equality, if  $\phi$  is finite, and if S + T either has a bounded inverse or is of finite rank, then ST = 0.
- (iv) Let  $p \in (0, 1)$ . Then  $\phi[(S + T)^p] \ge 2^{p-1}\phi(S^p + T^p)$ , and equality holds iff S = T.

REMARKS. (1) The inequalities obtained here for  $S,T\in\mathfrak{A}^+\cap L_p(\phi)$  can be easily extended to  $S,T\in L_p^+(\phi)$  by using results in [2]. As to when equality holds [for  $S,T\in L_p^+(\phi)$ ], the conditions are easily seen to be sufficient by [4]. Since we have not decided whether the conditions are also necessary and shall not use them in §3, we omit the details of such a generalization.

(2) It is plausible that for  $p \in (0, 1)$  and  $S, T \in \mathfrak{A}^+ \cap L_p(\phi)$ ,  $\phi(S^p + T^p) = \phi[(S + T)^p]$  implies ST = 0. As evident from the proof of (ii) below, this assertion will follow from its special case where S + T is injective.

We shall need the following lemmas in proving the above theorem. (C, R, N denote, respectively, the set of all complex, real, strictly positive integral numbers.)

LEMMA 1. Let the map  $t \in I \mapsto S_t \in \mathfrak{A}^+ \cap L_p(\phi)$  be differentiable (with respect to the norm on  $\mathfrak{A}$ ) on the real open interval I. Suppose for some  $a \in I$ ,  $S'_a \equiv dS_t/dt|_{t=a} \in \mathfrak{A} \cap L_p(\phi)$ .

(i) For any  $p \in [1, \infty)$  we have

$$\frac{d}{dt}\phi(S_t^p)\Big|_{t=a} = \phi\Big[pS_a^{p-1}S_a'\Big]. \tag{1}$$

(ii) Suppose  $p \in (0, 1)$ . If  $\phi$  is finite and if  $S_a$  is invertible in  $\mathfrak{A}$ , then (1) holds.

PROOF. Ad (i). Fix  $p \in [1, \infty)$  and fix a compact subinterval  $I_1$  of I with  $a \in I_1$ . Choose a branch of the complex function  $z^p$ , and a sector

$$\Omega \equiv \left\{ re^{i\theta} : r \in [0, \infty), \theta \in (-\theta_1, \theta_1) \right\} \qquad (\theta_1 > 0)$$

of the right half-plane of  $\mathbb C$  so that the branch is a single-valued holomorphic function on the sector. For  $\varepsilon>0$  let  $\Gamma_\varepsilon$  be the positively oriented contour consisting of the segments

$$\{re^{-i\theta_2}: r \in [\varepsilon, b)\}, \quad \{re^{i\theta_2}: r \in [\varepsilon, b)\}$$

and the circular arc  $\{be^{i\theta}: \theta \in [-\theta_2, \theta_2]\}$ , where  $\theta_2 \equiv \theta_1/2$ , and  $b > \max\{\|S_t\|: t \in I_1\}$ . Let  $\Gamma$  be similarly defined with  $\varepsilon$  replaced by zero. Since (cf. [8, p. 272]) for any  $\lambda \in \Gamma \setminus \{0\}$ ,

$$\|\lambda^{p}(\lambda - S_{t})^{-1}\| < |\lambda|^{p} |\operatorname{Im} \lambda|^{-1} = |\lambda|^{p-1} \sin^{-1} \theta_{2}$$

(Im  $\lambda \equiv$  imaginary part of  $\lambda$ ), we see that  $\int_{\Gamma_{\epsilon}} \lambda^{p} (\lambda - S_{t})^{-1} d\lambda$  converges in norm as  $\epsilon \to 0$ ; we shall denote the limit by  $\int_{\Gamma} \lambda^{p} (\lambda - S_{t})^{-1} d\lambda$ . It is then easy to see that, for every  $t \in I_{1}$ ,

$$S_t^p = \frac{1}{2\Pi i} \int_{\Gamma} \lambda^p (\lambda - S_t)^{-1} d\lambda.$$

Now the above construction works for the function  $z^{p-1}$  as well, provided that the sector  $\Omega$  and  $\Gamma$  have been chosen carefully. Thus we also have

$$S_t^{p-1} = \frac{1}{2\Pi i} \int_{\Omega} \lambda^{p-1} (\lambda - S_t)^{-1} d\lambda.$$

Similarly we see that

$$(2\Pi i)^{-1}\int_{\Gamma_a} \lambda^p (\lambda - S_a)^{-1} S_a' (\lambda - S_a)^{-1} d\lambda$$

converges in norm as  $\varepsilon \to 0$ ; we denote the limit by D. Now we claim that the map  $t \to S_t^p$  is differentiable (with respect to the norm) and

$$\left. \frac{d}{dt} S_t^p \right|_{t=a} = D. \tag{2}$$

In fact we have

$$||(t-a)^{-1}(S_t^p-S_a^p)-D|| \le l_1(t)+l_2(t)$$

where

$$l_{1}(t) \equiv \lim_{\epsilon \to 0} (2\Pi i)^{-1} \left\| \int_{\Gamma_{\epsilon}} \lambda^{p} (\lambda - S_{a})^{-1} (D_{t} - S_{a}') (\lambda - S_{a})^{-1} d\lambda \right\|,$$

$$l_{2}(t) \equiv \lim_{\epsilon \to 0} (2\Pi i)^{-1} \left\| \int_{\Gamma_{\epsilon}} \lambda^{p} \left[ (\lambda - S_{t})^{-1} - (\lambda - S_{a})^{-1} \right] D_{t} (\lambda - S_{a})^{-1} d\lambda \right\|$$

with  $D_t \equiv (t-a)^{-1}(S_t-S_a)$ . It is easy to see that  $\lim_{t\to a} l_1(t) = 0$ . For  $l_2(t)$ , choose a constant k and a compact subinterval  $I_2$  of I with  $a \in I_2$  and

$$\sup\{\|D_t\|: t \in I_2, t \neq a\} < k.$$

For  $\varepsilon_1 > 0$  and  $t \in I_2 \setminus \{a\}$  we have

$$\left\| \int_{\Gamma_{\epsilon_{1}}} \lambda^{p} \left[ (\lambda - S_{t})^{-1} - (\lambda - S_{a})^{-1} \right] D_{t} (\lambda - S_{a})^{-1} d\lambda \right\|$$

$$= \left\| \int_{\Gamma_{\epsilon_{1}}} \lambda^{p} (\lambda - S_{t})^{-1} (S_{t} - S_{a}) (\lambda - S_{a})^{-1} D_{t} (\lambda - S_{a})^{-1} d\lambda \right\|$$

$$\leq m_{\epsilon_{1}} k \|S_{t} - S_{a}\|$$

where  $m_{\epsilon_1}$  is a constant which depends on  $\epsilon_1$  only. On the other hand, for  $0 < \epsilon < \epsilon_1$ ,

$$\left\| \int_{\Gamma_{\epsilon} \setminus \Gamma_{\epsilon_{1}}} \lambda^{p} \left[ (\lambda - S_{t})^{-1} - (\lambda - S_{a})^{-1} \right] D_{t} (\lambda - S_{a})^{-1} d\lambda \right\|$$

$$\leq 4k \int_{\epsilon}^{\epsilon_{1}} r^{p-2} \left[ \sin \theta_{2} \right]^{-2} dr < 4k \epsilon_{1}^{p-1} \left[ (p-1) \sin^{2} \theta_{2} \right]^{-1}.$$

From these estimates it is clear that  $\lim_{t\to a} l_2(t) = 0$ . Thus our claim (2) is established.

By the spectral theorem and the semifiniteness of  $\phi$ , there exists an increasing sequence  $(E_n)_{n\in\mathbb{N}}$  of projections in  $\mathfrak A$  with  $\phi(E_n)<\infty$ ,  $E_nS_a=S_aE_n$ , and  $\lim_{n\to\infty}E_n=I$ . But we have in the sense of norm convergence:

$$\left(\frac{d}{dt}S_t^p\Big|_{t=a}\right)E_n=(2\Pi i)^{-1}\int_{\Gamma}\lambda^p(\lambda-S_a)^{-1}S_a'(\lambda-S_a)^{-1}E_n\,d\lambda.$$

Hence

$$\phi\left[\left(\frac{d}{dt}S_i^p\Big|_{I=a}\right)E_n\right] = (2\Pi i)^{-1}\int_{\Gamma}\lambda^p\phi\left[\left(\lambda - S_a\right)^{-2}S_a'E_n\right]d\lambda.$$

Now, since

$$\frac{d}{d\lambda} \left[ \lambda^p (\lambda - S_t)^{-1} \right] = p \lambda^{p-1} (\lambda - S_t)^{-1} - \lambda^p (\lambda - S_t)^{-2}, \quad \lambda \in \Gamma_e,$$

we have

$$pS_{t}^{p-1} = (2\Pi i)^{-1} \int_{\Gamma} p\lambda^{p-1} (\lambda - S_{t})^{-1} d\lambda$$
$$= (2\Pi i)^{-1} \int_{\Gamma} \lambda^{p} (\lambda - S_{t})^{-2} d\lambda,$$

and

$$\phi(pS_a^{p-1}S_a'E_n) = (2\Pi i)^{-1} \int_{\Gamma} \lambda^p \phi[(\lambda - S_a)^{-2}S_a'E_n] d\lambda,$$

i.e.,

$$\phi \left[ \left( \frac{d}{dt} S_t^p \Big|_{t=a} \right) E_n \right] = \phi \left( p S_a^{p-1} S_a' E_n \right).$$

Letting  $n \to \infty$  we obtain by (2)

$$\left. \frac{d}{dt} \phi(S_t^p) \right|_{t=a} = \phi\left( \frac{d}{dt} S_t^p \bigg|_{t=a} \right) = \phi\left( p S_a^{p-1} S_a' \right).$$

Ad (ii). For t sufficiently close to a,  $S_t$  is invertible in  $\mathfrak{A}$  so that the contour  $\Gamma$  can be replaced by one lying in a domain of analyticity for a branch of the complex function  $z^p$ , and containing the spectrum of such  $S_t$  in its interior. Thus our assertion follows from a well-known result [9, p. 108].

LEMMA 2. (i) Let  $p \in (0, \infty)$  and let  $A_1, A_2 \in \mathfrak{A}^+ \cap L_p(\phi)$  with  $A_1 > A_2$ . Then  $\phi(A_1^p) > \phi(A_2^p)$ .

- (ii) Let  $p \in (1, \infty)$ ,  $n \in \mathbb{N}$  and let  $A, B \in \mathfrak{A}^+ \cap L_{np}(\phi)$ . Denote  $C_p \equiv B^{1/2}(A+B)^{p-1}B^{1/2}$ . Then  $\phi(C_p^n) > \phi(B^{np})$ .
- (iii) Suppose  $\phi$  is finite and let  $A, B \in \mathfrak{A}^+$  be such that A + B is invertible in  $\mathfrak{A}$ . Then, for any  $p \in (0, 1)$ ,

$$\phi\big[(A+B)^{p-1}B\big]\leqslant\phi(B^p).$$

PROOF. Ad (i). We prove first that the assertion is valid for  $p = 2^n$ ,  $n \in \mathbb{N}$ . Indeed this can be shown by induction on n with the following observations:

$$A_1^{2^n} = \left(A_1^{1/2} A_1 A_1^{1/2}\right)^{2^{n-1}}, \qquad \phi \left[ \left(A_1^{1/2} A_2 A_1^{1/2}\right)^{2^n} \right] = \phi \left[ \left(A_2^{1/2} A_1 A_2^{1/2}\right)^{2^n} \right].$$

Now suppose  $p \in (0, \infty)$  and choose  $n \in \mathbb{N}$  so large that  $p_1 \equiv p2^{-n} < 1$ . Then

$$\phi(A_1^p) = \phi \Big[ (A_1^{p_1})^{2^n} \Big] \geqslant \phi \Big[ (A_2^{p_1})^{2^n} \Big] = \phi(A_2^p).$$

Ad (ii). We proceed by induction. If  $p \in (1, 2]$ , then  $C_p > B^p$ ; so by (i) we have  $\phi(C_p^n) > \phi(B^{np})$  for all  $n \in \mathbb{N}$  and for all  $A, B \in \mathfrak{A}^+ \cap L_{np}(\phi)$ . Now suppose that for some integral m > 2, (ii) is valid for all  $p \in (1, m]$  and all  $n \in \mathbb{N}$ . Let  $l = m + \alpha$  with  $\alpha \in (0, 1]$ ,  $n \in \mathbb{N}$  and let  $A, B \in \mathfrak{A}^+ \cap L_{nl}(\phi)$ . Then  $C_l \equiv B^{1/2}(A + B)^{l-1}B^{1/2} > D^2$  where  $D \equiv B^{1/2}(A + B)^{l/2-1}B^{1/2}$ .

Thus by (i),  $\phi(C_l^n) > \phi(D^{2n})$ . But  $l/2 \in (1, m]$ ; by the induction hypothesis  $\phi(D^{2n}) > \phi(B^{nl})$ . Hence  $\phi(C_l^n) > \phi(B^{nl})$  and (ii) is established.

Ad (iii). For a strictly positive number  $\varepsilon$ , let  $B_{\varepsilon} \equiv \varepsilon + B$ . Then

$$(A + B_{\bullet})^{p-1} \le B_{\bullet}^{p-1}, \quad B_{\bullet}^{1/2}(A + B_{\bullet})^{p-1}B_{\bullet}^{1/2} \le B_{\bullet}^{p}.$$

Letting  $\varepsilon \to 0$  we obtain  $B^{1/2}(A + B)^{p-1}B^{1/2} \le B^p$ ; so

$$\phi \lceil (A+B)^{p-1}B \rceil \leq \phi(B^p).$$

PROOF OF THEOREM 1. Ad (i). Define the function g on  $\mathbb{R}^+$  by

$$g(t) \equiv \phi [(S + tT)^p] - \phi (S^p + t^pT^p), \quad t \in \mathbf{R}^+.$$

Then g(0) = 0, and by the preceding lemmas (also [1, Corollary 3 of Theorem 6]),

$$g'(t) = \phi \left[ pT^{1/2}(S + tT)^{p-1}T^{1/2} \right] - \phi \left( pt^{p-1}T^p \right) > 0, \quad t \in \mathbb{R}^+.$$

Thus g(1) > g(0), i.e.,  $\phi[(S+T)^p] > \phi(S^p+T^p)$ . We proceed to demonstrate the assertion on the equality. If ST = 0, then obviously TS = ST = 0. Appealing to well-known commutative results we have  $\phi[(S+T)^p] = \phi(S^p+T^p)$ .

Suppose now  $\phi[(S+T)^p] = \phi(S^p+T^p)$ . To show ST=0 we let

$$K \equiv i [T(S+T)^{p-1} - (S+T)^{p-1}T]$$

(which is a selfadjoint element of  $\mathfrak{A}$ ), and define the function h on  $\mathbb{R}$  by:

$$h(t) \equiv \phi [(S + e^{-itK}Te^{itK})^p], \quad t \in \mathbb{R}.$$

Then the result we just obtained implies that h attains its absolute minimum at t = 0. By Lemma 1, we have

$$0 = ip^{-1}h'(0) = \phi [(S+T)^{p-1}(KT-TK)]$$
  
=  $\phi \{ [T(S+T)^{p-1} - (S+T)^{p-1}T]K \}.$ 

Hence  $T(S+T)^{p-1}=(S+T)^{p-1}T$ . By the spectral theorem, T(S+T)=(S+T)T, i.e., ST=TS. But for any two nonnegative real numbers s,t:  $(s+t)^p=s^p+t^p$  only if st=0. As we have  $\phi[(S+T)^p]=\phi(S^p+T^p)$  where S and T commute, we conclude that ST=0.

Ad (ii). By Minkowski's inequality,

$$\phi[(S+T)^p] \leq [\phi(S^p)^{1/p} + \phi(T^p)^{1/p}]^p.$$

But as p > 1, we have, for any  $s, t \in \mathbb{R}^+$ ,  $(s + t)^p \le 2^{p-1}(s^p + t^p)$ . Hence

$$\phi \lceil (S+T)^p \rceil \leq 2^{p-1}\phi (S^p+T^p).$$

If S = T then obviously  $\phi[(S + T)^p] = 2^{p-1}\phi(S^p + T^p)$ . Suppose that  $\phi[(S + T)^p] = 2^{p-1}\phi(S^p + T^p)$  for some  $S, T \in \mathfrak{A}^+ \cap L_p(\phi)$ . In order to show that S = T, we define a function f on  $\mathbb{R}$  by

$$f(t) \equiv \phi [(S + e^{-itH}Te^{itH})^p], \quad t \in \mathbb{R},$$

where H is a selfadjoint element of  $\mathfrak{A}$ . By the result we just obtained, f attains its absolute maximum at t = 0. Therefore

$$0 = ip^{-1}f'(0) = \phi [(S+T)^{p-1}(HT-TH)].$$

As before, we deduce that ST = TS. Since we have

$$\phi\{\left[2^{-1}(S+T)\right]^{p}\}=2^{-1}\left[\phi(S^{p})+(T^{p})\right],$$

we conclude that S = T.

Ad (iii). Suppose at first that  $S + T > \varepsilon$  (a positive number) and that  $\phi$  is finite. Define a function  $g_1$  on  $\mathbb{R}^+$  by

$$g_1(t) \equiv \phi [(S + tT)^p] - \phi (S^p + t^pT^p), \quad t \in \mathbb{R}^+.$$

Then  $g_1(0) = 0$ , and by the preceding lemmas, we have  $g'_1(t) \le 0$  for all  $t \in (0, 1)$ . Hence  $g_1(1) \le g_1(0)$ , i.e.,

$$\phi \lceil (S+T)^p \rceil < \phi(S^p) + \phi(T^p).$$

The extra condition " $S+T>\varepsilon$  and  $\varphi$  is finite" can now be removed as follows. For given  $S,T\in \mathfrak{A}^+\cap L_p(\varphi)$ , let  $(E_n)_{n\in\mathbb{N}}$  be an increasing sequence of projections in  $\mathfrak A$  such that each  $E_n$  commutes with S+T,  $(S+T)E_n>n^{-1}E_n$ , and  $(S+T)(I-E_n)< n^{-1}(I-E_n)$ . As  $E_n< n^p(S+T)^p$ , we have  $\varphi(E_n)<\infty$ . Then the result of the preceding paragraph implies that

$$\phi\{\left[\left(S+T\right)E_{n}\right]^{p}\} \leqslant \phi\left[\left(E_{n}SE_{n}\right)^{p}+\left(E_{n}TE_{n}\right)^{p}\right],$$

i.e.,

$$\|(S+T)^{1/2}E_n\|_{2p}^{2p} \leq \|S^{1/2}E_n\|_{2p}^{2p} + \|T^{1/2}E_n\|_{2p}^{2p}.$$

Taking adjoints we get

$$||E_n(S+T)^{1/2}||_{2p}^{2p} \le ||E_nS^{1/2}||_{2p}^{2p} + ||E_nT^{1/2}||_{2p}^{2p}$$

i.e.,

$$\phi\Big\{\Big[\big(S+T\big)^{1/2}E_n(S+T)^{1/2}\Big]^p\Big\} \leqslant \phi\Big[\big(S^{1/2}E_nS^{1/2}\big)^p\Big] + \phi\Big[\big(T^{1/2}E_nT^{1/2}\big)^p\Big].$$

Since

$$\left(S^{1/2}E_nS^{1/2}\right)^p \leq S^p, \qquad \left(T^{1/2}E_nT^{1/2}\right)^p \leq T^p,$$

since  $E_n$  commutes with S+T, and since  $(S+T)(I-\lim_{n\to\infty}E_n)=0$ , we have

$$\phi [(S+T)^p] = \lim_{n \to \infty} \phi \{ [(S+T)^{1/2} E_n (S+T)^{1/2}]^p \}$$
  
$$\leq \phi (S^p) + \phi (T^p).$$

We proceed to prove the assertion on the equality. If ST = 0, then obviously  $\phi[(S+T)^p] = \phi(S^p + T^p)$ . Suppose now that  $\phi(S^p + T^p) = \phi[(S+T)^p]$ 

and that  $\phi$  is finite. Assume at first that S+T has a bounded inverse. Then by arguments similar to those used in proving (i), we have ST=0. Assume now that S+T is of finite rank. Let  $E_1$  be the support of S+T,  $E_2\equiv I-E_1$ ,  $S_1\equiv E_1SE_1$  and  $T_1\equiv E_1TE_1$ . Then  $E_2SE_2=E_2TE_2=0$ ,  $S^{1/2}E_2=T^{1/2}E_2=0$ ,  $S_1=(S^{1/2}E_1)^*(S^{1/2}E_1)=S$  and  $T_1=T$ . Thus

$$\phi[(S_1 + T_1)^p] = \phi(S_1^p + T_1^p).$$

As  $S_1 + T_1 = (S + T)E_1$  is injective and of finite rank,  $S_1 + T_1$  has a bounded inverse. Thus  $ST = S_1T_1 = 0$ .

Ad (iv). As  $p \in (0, 1)$ , the map  $A \mapsto A^p$  on  $\mathfrak{A}^+$  is concave and we have

$$S^p + T^p \le 2^{1-p}(S+T)^p, \quad \phi[(S+T)^p] > 2^{p-1}\phi(S^p + T^p).$$

If S=T then we obviously have equality. On the other hand, if we have equality  $\phi[(S+T)^p] = 2^{p-1}\phi(S^p+T^p)$ , then  $(S+T)^p = 2^{p-1}(S^p+T^p)$ . So  $S+T=2^{1-q}(S^p+T^p)^q$  where  $q\equiv p^{-1}$ . Let  $S_1\equiv S^p$ ,  $T_1\equiv T^p$ . Then

$$\phi(S+T) = 2^{1-q}\phi[(S_1+T_1)^q]$$

$$\leq 2^{1-q}2^{q-1}\phi(S_1^q+T_1^q) = \phi(S+T)$$

by (ii) as q > 1. Hence

$$\phi[(S_1 + T_1)^q] = 2^{q-1}\phi(S_1^q + T_1^q).$$

By (ii) again,  $S_1 = T_1$ ; so S = T. This completes the proof of Theorem 1.

Theorem 2. Let  $A,B \in L_p(\phi) \cap \mathfrak{A}$  ( $\|\cdot\|_p$  the  $L_p$  norm).

(i) If  $p \in [2, \infty)$ , then

$$||A + B||_p^p + ||A - B||_p^p > 2(||A||_p^p + ||B||_p^p).$$

(ii) If  $p \in [1, 2]$ , then

$$||A + B||_p^p + ||A - B||_p^p \le 2(||A||_p^p + ||B||_p^p).$$

(iii) Suppose  $p \in [1, \infty) \setminus \{2\}$ . Then

$$||A + B||_p^p + ||A - B||_p^p = 2(||A||_p^p + ||B||_p^p)$$

 $iff AB^* = B^*A = 0.$ 

REMARK. Statement (i) is Lemma 3.5 of [1] in slightly different form. Statement (ii) can be obtained via Lemma 3.4 of [1] as Dixmier did for Lemma 3.5 of [1]. In fact they hold for any  $A, B \in L_p(\phi)$ . It suffices, therefore, to give:

PROOF OF THEOREM 2(iii). Suppose that  $A, B \in \mathfrak{A} \cap L_p(\phi)$  satisfy

$$||A + B||_p^p + ||A - B||_p^p = 2(||A||_p^p + ||B||_p^p).$$

Let  $q \equiv p/2$ ,  $C \equiv A*A + B*B$ , and  $D \equiv A*B + B*A$ . Then we have

$$\phi[(C + D)^q + (C - D)^q] = 2\phi[(A*A)^q + (B*B)^q].$$

By Theorem 1 we have for q > 1,

$$\phi[(C+D)^q + (C-D)^q] \ge 2\phi(C^q) \ge 2\phi[(A^*A)^q + (B^*B)^q],$$
 and for  $q \in (0, 1)$ ,

$$\phi [(C + D)^q + (C - D)^q] \le 2\phi (C^q) \le 2\phi [(A^*A)^q + (B^*B)^q].$$

Thus we have  $\phi[(C+D)^q+(C-D)^q]=2\phi(C^q)$  where  $q\in(0,\infty)\setminus\{1\}$ . By Theorem 1 (ii), (iv),  $0=D\equiv A^*B+B^*A$ , i.e.,  $A^*B=-B^*A$ . Since the equality

$$||A + B||_p^p + ||A - B||_p^p = 2(||A||_p^p + ||B||_p^p)$$

remains unchanged if (A, B) is replaced by  $(B^*, A^*)$ , we have  $BA^* = -AB^*$  also. Then we have

$$A*AB*B = -A*BA*B = A*BB*A = B*BA*A$$

i.e., A\*A commutes with B\*B. Since

$$\phi[(A^*A + B^*B)^q] = \phi(C^q) = \phi[(A^*A)^q + (B^*B)^q]$$

and since, for nonnegative real numbers s, t,  $(s+t)^q = s^q + t^q$  only if st = 0, we conclude that A\*AB\*B = 0. Hence (BA\*A)\*(BA\*A) = 0, BA\* = 0 on the support of A\* and AB\* = 0. Replacing (A, B) by (B\*, A\*) we have B\*A = 0 also

Suppose  $A,B \in \mathfrak{A} \cap L_p(\phi)$  satisfy  $AB^* = B^*A = 0$ . Then  $A^*A$  commutes with  $B^*B$ ,  $(A^*A)(B^*B) = 0$  and  $AB^* + B^*A = 0$ . Hence

$$2\phi [(A*A)^{q} + (B*B)^{q}] = 2\phi [(A*A + B*B)^{q}]$$

$$= \phi \{ [(A+B)*(A+B)]^{q} + [(A-B)*(A-B)]^{q} \},$$

i.e.,

$$2(\|A\|_{p}^{p} + \|B\|_{p}^{p}) = \|A + B\|_{p}^{p} + \|A - B\|_{p}^{p}.$$

This completes the proof.

3.  $L_p$  isometries. In this section we shall prove our main theorems introduced in §1, and also generalizations of some results of [5] and [6], by modifying the arguments of these papers. For clarity, however, we shall present the auxiliary results in full, though at places we shall refer the reader to [6] for more details.

Throughout this section, as before, we let  $\phi$  [resp.,  $\psi$ ] be a semifinite normal faithful trace on  $\mathfrak{A}$  [resp.,  $\mathfrak{B}$ ],  $L_p(\phi)$  [resp.,  $L_p(\psi)$ ] be the associated  $L_p$  space, and  $\Theta$  a linear isometry from  $L_p(\phi)$  into  $L_p(\psi)$ ,  $p \in [1, \infty) \setminus \{2\}$ . (As in §1, the sum and product of two measurable unbounded operators will be in the strong sense; cf. [2].)

LEMMA 3. (i) Let  $(A_{\lambda})_{\lambda \in \Lambda}$  be a net in  $\mathfrak{A}$ , which converges to  $A \in \mathfrak{A}$  ultraweakly. Then for any  $X \in L_p(\phi)$ ,  $(A_{\lambda}X)_{\lambda \in \Lambda}$  [resp.,  $(XA_{\lambda})_{\lambda \in \Lambda}$ ] converges to AX (resp., XA) with respect to the  $\sigma(L_p(\phi), L_q(\phi))$  topology on  $L_p(\phi)$ , where  $q^{-1} + p^{-1} = 1$ .

(ii) Let  $(A_{\lambda})_{\lambda \in \Lambda}$  be a net in  $\mathfrak{A}^+ \cap L_p(\phi)$  bounded above by  $A \in \mathfrak{A}^+ \cap L_p(\phi)$ . Suppose  $(A_{\lambda})_{\lambda \in \Lambda}$  converges to A weakly and suppose  $\phi(S) < \infty$  where S denotes the support of A. Then for any  $B \in \mathfrak{B}$ ,  $(\Theta(A_{\lambda})B)_{\lambda \in \Lambda}$  [resp.,  $(B\Theta(A_{\lambda}))_{\lambda \in \Lambda}$ ] converges to  $\Theta(A)B$  [resp.,  $B\Theta(A)$ ] with respect to the  $\sigma(L_p(\psi), L_a(\psi))$  topology on  $L_p(\psi)$ .

PROOF. Ad (i). Let  $X' \in L_q(\phi)$ . Then  $XX' \in L_1(\phi)$  and  $\phi(A_\lambda XX')$  converges to  $\phi(AXX')$  by [9, p. 82]. Hence  $(A_\lambda X)_{\lambda \in \Lambda}$  converges to AX with respect to the  $\sigma(L_p(\phi), L_q(\phi))$  topology on  $L_p(\phi)$ . Similarly by [4, Lemma 3.1] we prove that  $(XA_\lambda)$  converges to XA with respect to the  $\sigma(L_p(\phi), L_q(\phi))$  topology on  $L_p(\phi)$ .

Ad (ii). Let  $Y' \in L_q(\psi)$ . Then, since the linear functional  $\psi[\Theta(\cdot)BY']$  on  $L_p(\phi)$  is continuous, there exists  $X' \in L_q(\phi)$  such that  $\psi[\Theta(Z)BY'] = \phi(ZX')$  for any  $Z \in L_p(\phi)$ . Since AS = A, we have  $A_\lambda S = A_\lambda$ . As  $SX' \in L_1(\phi)$ ,  $\phi(A_\lambda X') = \phi(A_\lambda SX')$  converges to  $\phi(ASX') = \phi(AX')$ , i.e.,  $\psi[\Theta(A_\lambda)BY']$  converges to  $\psi[\Theta(A)BY']$ . Hence  $\Theta(A_\lambda)B \to \Theta(A)B$  with respect to the  $\sigma(L_p(\psi), L_q(\psi))$  topology on  $L_p(\psi)$ . Similarly  $B\Theta(A_\lambda) \to B\Theta(A)$  with respect to the same topology on  $L_p(\psi)$ .

LEMMA 4. Let  $A,B \in \mathfrak{A} \cap L_p(\phi)$ . Suppose  $\Theta(A),\Theta(B) \in \mathfrak{B}$ . Then  $AB^* = B^*A = 0$  iff  $\Theta(A)\Theta(B)^* = \Theta(B)^*\Theta(A) = 0$ .

PROOF. By Theorem 2(iii) of §2.

COROLLARY 1. Let  $P, P_1$  be projections in  $\mathfrak{A} \cap L_1(\phi)$  with  $\Theta(P), \Theta(P_1) \in \mathfrak{B}$ .

(i) Suppose  $P_1P = PP_1$ . Then  $|\Theta(P_1)|$  commutes with  $|\Theta(P)|$   $[|\Theta(P)|$  denotes the absolute value of  $\Theta(P)$ ]. Consequently, if  $\Theta(P)$ ,  $\Theta(P_1) > 0$ , then

$$\Theta(P_1)\Theta(P) = \Theta(P)\Theta(P_1).$$

(ii) Suppose  $PP_1P = P_1$ . Then  $|\Theta(P_1)| \le |\Theta(P)|$  and  $|\Theta(P_1)| = Q|\Theta(P_1)|Q$  where Q denotes the support of  $|\Theta(P)|$ .

PROOF (cf. [6, p. 95]). Ad (i). Let  $Q_1 \equiv P_1 P$ ,  $Q_2 \equiv P - Q_1$ ,  $Q_3 \equiv P_1 - Q_1$ . Then  $Q_1$ ,  $Q_2$ ,  $Q_3$  are mutually orthogonal projections with  $Q_1 + Q_2 = P$  and  $Q_1 + Q_3 = P_1$ . A direct computation using Lemma 4 shows that

$$|\Theta(P)|^2 |\Theta(P_1)|^2 = [\Theta(Q_1)^* \Theta(Q_1)]^2 = |\Theta(P_1)|^2 |\Theta(P)|^2.$$

Ad (ii). Let  $Q_0 \equiv P - P_1$ . Then by Lemma 4 we have  $|\Theta(P)|^2 = |\Theta(P_1)|^2 + |\Theta(Q_0)|^2$ . The desired assertion then follows.

COROLLARY 2. Suppose  $\Theta[\mathfrak{A}^+ \cap L_p(\phi)] \subset \mathfrak{B}^+$ . Let  $M_{r,\phi}$  denote the two-sided ideal generated in  $\mathfrak{A}$  by projections in  $L_1(\phi)$ . Let  $X,P \in M_{r,\phi}$  with P a projection.

- (i) Suppose XP = PX. Then  $\Theta(X)\Theta(P) = \Theta(P)\Theta(X)$ .
- (ii) Suppose PXP = X. Then  $\Theta(X) = S\Theta(X)S$ , where S denotes the support of  $\Theta(P)$ .

PROOF (cf. [6, p. 96]). Without loss of generality we can assume X to be positive. By making use of the spectral decomposition of X, Corollary 1 and Lemma 3 above, and the fact that the support of X belongs to  $M_{r,\phi}$  and hence to  $L_1(\phi)$  (cf. [10]), we establish (i). Statement (ii) is proved similarly.

LEMMA 5. Suppose  $\Theta[\mathfrak{A}^+ \cap L_p(\phi)] \subset \mathfrak{B}^+$ . Then there exists a Jordan homomorphism  $\Phi'$  of  $\overline{M}^u_{r,\phi}$  (the closure of  $M_{r,\phi}$  in  $\mathfrak{A}$  with respect to the uniform topology) into  $\mathfrak{B}$  such that:

- (i)  $\Theta(X) = \Theta(P)\Phi'(X) = \Phi'(X)\Theta(P)$  for each  $X \in M_{r,\phi}$  and projection  $P \in M_{r,\phi}$  with X = PXP;
  - (ii)  $\Phi'(P) = S_{\Theta(P)}$  [the support of  $\Theta(P)$ ] for each projection  $P \in M_{r,\phi}$ .

PROOF. This generalizes Lemma 3 of [6]. The proof given there can be carried through with some modification. For completeness and clarity, however, we shall sketch the proof.

For X, P as given and for an arbitrary, strictly positive real number  $\varepsilon > 0$ , let  $\Phi_{\varepsilon}''(X,P) \equiv [\varepsilon + \Theta(P)]^{-1}S\Theta(X)$ , where S denotes the support of  $\Theta(P)$ . Then one can show that  $0 \leq \Phi_{\varepsilon}''(X,P) \leq ||X||$  in case X > 0, that in general  $\Phi''(X,P) \equiv (\text{strong}) \lim_{\varepsilon \to 0} \Phi_{\varepsilon}''(X,P)$  exists, and that the map  $\Phi''(\cdot,P)$  from  $P \mathfrak{A} P$  into  $\mathfrak{B}$  is linear, bounded and positive. Moreover we have  $\Phi''(X,P_1) = \Phi''(X,P)$  for any projection  $P_1 \in \mathfrak{A}$  satisfying  $S_X \leq P_1 \leq P$  ( $S_X \equiv \text{the support of } X$ ). Define, for  $X \in M_{r,\phi}$ ,  $\Phi''(X) \equiv \Phi''(X,S_X)$ . One can show that the map  $\Phi''$  from  $M_{r,\phi}$  into  $\mathfrak{B}$  is linear, positive and bounded. Also  $\Phi''(P) = S$  by considering  $f_{\varepsilon}[\Theta(P)]$  where  $f_{\varepsilon}(t) \equiv t(\varepsilon + t)^{-1}$ . Define  $\Phi'$  to be the unique continuous extension of  $\Phi''$  to  $\overline{M}_{r,\phi}^u$ .  $\Phi'$  can be shown to be a Jordan homomorphism by means of the fact  $\Phi'(Q_1)\Phi'(Q_2) = 0$  for any two mutually orthogonal projections  $Q_1, Q_2 \in M_{r,\phi}$ . (i) is proved by observing that, for positive X,

$$\begin{split} \Theta(P)\Phi''(X) &= \lim_{\epsilon \to 0} \left[ \Theta(P)\Phi''_{\epsilon}(X,P) \right] \\ &= \lim_{\epsilon \to 0} \left[ \Phi''(P,P)\Theta(X) \right] = S\Theta(X) = \Theta(X), \end{split}$$

where  $\lim_{\epsilon\to 0}$  is taken in  $L_p(\psi)$  with respect to the  $\sigma(L_p(\psi), L_q(\psi))$  topology  $(q^{-1} + p^{-1} = 1)$ .

LEMMA 6. Suppose  $\Theta[\mathfrak{A}^+ \cap L_p(\phi)] = \mathfrak{B}^+ \cap L_p(\psi)$ . Then:

(i) for any projection  $Q \in \mathfrak{B}$ , there exists a family of mutually orthogonal projections  $(P_{\lambda})_{\lambda \in \Lambda}$  in  $M_{r,\phi}$  such that

$$Q = \sum_{\lambda \in \Lambda} \Phi'(P_{\lambda})$$
 and  $\Phi'(P_{\lambda}) \in M_{r,\psi}$ ;

(ii) the weak closure of  $\Phi'(M_{r,\phi})$  is  $\mathfrak{B}$ .

PROOF. As (ii) follows readily from (i), and as each projection in  $\mathfrak{B}$  is the direct sum of a family of mutually orthogonal projections in  $M_{r,\psi}$ , it suffices to prove (i) for a nonzero projection  $Q \in M_{r,\psi}$ . Then  $\Theta^{-1}(Q) \in \mathfrak{A}^+ \cap L_p(\phi)$ . By the spectral theorem there exist a positive number  $\lambda$  and a nonzero projection  $P \in \mathfrak{A}$  such that  $P \leq \lambda \Theta^{-1}(Q)$ . Hence  $P \in M_{r,\phi}$  and  $\Theta(P) \leq \lambda Q$ . Since  $Q \in M_{r,\psi}$  we see that  $\Theta(P)$  and its support  $S_{\Theta(P)} = \Phi'(P)$  (by Lemma 5) belong to  $M_{r,\psi}$ ; also  $\Phi'(P) \leq Q$ .

Now let  $(P_n)_{n\in\mathbb{N}}$  be a maximal family of mutually orthogonal nonzero projections in  $M_{r,\phi}$  such that  $[\Phi'(P_n)]_{n\in\mathbb{N}}$  is a family of mutually orthogonal subprojections of Q with each  $\Phi'(P_n) \in M_{r,\psi}$ . The family is countable because  $\psi$  is faithful and  $Q \in M_{r,\psi}$ . Then  $\sum_{n\in\mathbb{N}} \Phi'(P_n) = Q$ . For if otherwise,  $\overline{Q} \equiv Q - \sum_{n\in\mathbb{N}} \Phi'(P_n) \neq 0$ . By the preceding paragraph, there is a nonzero projection  $\overline{P} \in M_{r,\phi}$  such that  $\Phi'(\overline{P}) \leq \overline{Q}$  and  $\Phi'(\overline{P}) \in M_{r,\psi}$ . Now as  $S_{\Theta(\overline{P})}S_{\Theta(P_n)} = 0$ ,  $\Theta(\overline{P})\Theta(P_n) = 0$  and  $\overline{P}P_n = 0$ . This contradicts the maximality of  $(P_n)_{n\in\mathbb{N}}$ ; so  $Q = \sum_{n\in\mathbb{N}} \Phi'(P_n)$ .

Lemma 7. Suppose  $\Theta[\mathfrak{A}^+ \cap L_p(\phi)] = \mathfrak{B}^+ \cap L_p(\psi)$ .

and

- (i) There exist two projections  $Q_1$ ,  $Q_2$  in the center of  $\mathfrak{B}$  such that  $Q_1 + Q_2 = I$  and such that the map  $X \mapsto \Phi'(X)Q_1$  [resp.,  $X \mapsto \Phi'(X)Q_2$ ] of  $\overline{M}_{r,\phi}^u$  into  $\mathfrak{B}$  is a homomorphism [resp., an antihomomorphism].
- (ii) For every pair of projections  $Q_1$ ,  $Q_2$  satisfying (i), for every  $X \in L_p(\phi)$  and for every  $A \in \overline{M}^u_{r,\phi}$ , we have

$$Q_1\Phi'(A)\Theta(X) = Q_1\Theta(AX) \quad [resp., Q_2\Phi'(A)\Theta(X) = Q_2\Theta(XA)]$$

$$Q_1\Theta(X)\Phi'(A) = Q_1\Theta(XA) \quad [resp., Q_2\Theta(X)\Phi'(A) = Q_2\Theta(AX)].$$

(iii) For each pair of projections  $Q_1$ ,  $Q_2$  satisfying (i), there exists a pair of projections  $P_1$ ,  $P_2$  in the center of  $\mathfrak A$  such that  $P_1 + P_2 = I$  and such that, for every  $X \in L_p(\phi)$ , we have

$$Q_1\Theta(X) = \Theta(P_1X) \quad [resp., Q_2\Theta(X) = \Theta(P_2X)].$$

(iv) The map  $\Phi'$  from  $\overline{M}_{r,\phi}^u$  into  $\mathfrak B$  is ultraweakly continuous and extends uniquely to a Jordan isomorphism  $\Phi$  of  $\mathfrak A$  onto  $\mathfrak B$ .

Proof. This generalizes Lemma 5 of [6], and statements (i) and (ii) are proved as in [6] with obvious modification (e.g., for (ii), apply Proposition 5 of [1]).

Ad (iii). By Lemma 6, we may let  $(P_{\lambda})_{\lambda \in \Lambda}$  be a family of mutually orthogonal projections in  $M_{r,\phi}$  such that  $Q_1 = \sum_{\lambda \in \Lambda} \Phi'(P_{\lambda})$ . Put  $P_1 \equiv \sum_{\lambda \in \Lambda} P_{\lambda}$ . It is easy (cf. [6, p. 102]) to prove that for every  $\lambda \in \Lambda$ ,  $\Phi'(P_{\lambda})\Theta(X) = \Theta(P_{\lambda}X)$ ,  $X \in L_p(\phi)$ . By Lemma 3, it follows that  $Q_1\Theta(X) = \Theta(P_1X)$ . Similarly we have  $\Theta(X)Q_1 = \Theta(XP_1)$ . As  $Q_1$  is in the center of  $\mathfrak{B}$ , we have  $\Theta(Y)Q_1 = \Theta(YP_1)$  for any  $Y \in \mathfrak{A} \cap L_p(\phi)$ . Thus  $P_1$  belongs to the center of  $\mathfrak{A}$ . Similarly we prove that if  $(P_{\gamma})_{\gamma \in \Gamma}$  is a family of mutually orthogonal projections in  $M_{r,\phi}$  such that  $Q_2 = \sum_{\gamma \in \Gamma} \Phi'(P_{\gamma})$ , then  $Q_2\Theta(X) = \Theta(XP_2)$ , where  $P_2 \equiv \sum_{\gamma \in \Gamma} P_{\gamma}$ , and  $P_2$  belongs to the center of  $\mathfrak{A}$ . Now

$$\Theta[X(P_1 + P_2)] = Q_1\Theta(X) + Q_2\Theta(X) = \Theta(X)$$

for any  $X \in L_p(\phi)$ , we conclude that  $P_1 + P_2 = I$ .

Ad (iv). In order to show that  $\Phi'$  is ultraweakly continuous, it suffices (by Theorem 6 of [4]) to show that for every  $Z \in L_1^+(\psi)$  the linear functional  $A \mapsto \psi[\Phi'(A)Z]$  is ultraweakly continuous on  $\overline{M}_{r,\phi}^u$ . Since  $\Theta$  is onto  $L_p(\psi)$ , it suffices, in turn, to show that for every  $X \in L_p(\phi)$  and for every  $W \in L_q(\psi)$  ( $q^{-1} + p^{-1} = 1$ ), the linear functional  $A \mapsto \psi[\Phi'(A)\Theta(X)W]$  is ultraweakly continuous on  $\overline{M}_{r,\phi}^u$ . By (ii) and (iii) above, it is easy to see that  $\Phi'(A)\Theta(X) = \Theta(P_1AX + P_2XA)$ . Hence

$$\psi[\Phi'(A)\Theta(X)W] = \psi[\Theta(P_1AX + P_2XA)W].$$

Since the linear functional  $\psi[\Theta(\cdot)W]$  is continuous on  $L_p(\phi)$ , there exists  $V \in L_q(\phi)$  such that  $\psi[\Theta(Y)W] = \phi(YV)$  for every  $Y \in L_p(\phi)$ . Hence we have

$$\psi[\Phi'(A)\Theta(X)W] = \phi[(P_1AX + P_2XA)V]$$
$$= \phi(AXVP_1) + \phi(AVP_2X).$$

Since  $XVP_1$  and  $VP_2X \in L_1(\phi)$ , the maps  $A \mapsto \phi(AXVP_1)$  and  $A \mapsto \phi(AVP_2X)$  are ultraweakly continuous. Thus  $\Phi'$  is ultraweakly continuous on  $\overline{M}^u_{r,\phi}$ .

The rest of the proof is standard (cf. [6, p. 103]).

THEOREM 3. Suppose  $\Theta[\mathfrak{A}^+ \cap L_p(\phi)] = \mathfrak{B}^+ \cap L_p(\psi)$ ,  $p \in [1, \infty)$ . Then there exist uniquely a Jordan \*-isomorphism  $\Phi$  of  $\mathfrak{A}$  onto  $\mathfrak{B}$ , and a positive selfadjoint operator  $Z \in L_p(\psi)$  affiliated with the center of  $\mathfrak{B}$  such that

$$\Theta(X) = \Phi(X)Z, \qquad X \in \mathfrak{A} \cap L_p(\phi).$$

Suppose, in addition, that  $\mathfrak A$  or  $\mathfrak B$  is a factor. Then  $\Phi$  is an isomorphism or an anti-isomorphism of  $\mathfrak A$  onto  $\mathfrak B$ , and Z is proportional to the identity of  $\mathfrak B$ ; so there exists uniquely a strictly positive number  $\lambda$  such that  $\Theta(X) = \lambda \Phi(X)$  for every  $X \in \mathfrak A \cap L_p(\phi)$ .

PROOF. This "generalizes" Theorem 1 of [6]. The proof there carries through, with some modification, in the present context. For completeness and clarity, however, we shall sketch the proof.

Let  $(P_{\lambda})_{\lambda \in \Lambda}$  be a family of mutually orthogonal projections in  $M_{r,\phi}$  such that  $I = \sum_{\lambda \in \Lambda} P_{\lambda}$ . Let  $S_{\lambda}$  be the support of  $\Theta(P_{\lambda})$ . Let Z be the closure of the "direct sum" Z' of  $\Theta(P_{\lambda})|_{S_{\lambda}(\kappa)}$  ( $\kappa$  denotes the Hilbert space on which  $\mathfrak{B}$  acts). Then it follows from Lemmas 3 and 7 that

$$\Theta(X) = \sum_{\lambda \in \Lambda} \Theta(XP_{\lambda}) = \sum_{\lambda \in \Lambda} \Phi(X)\Theta(P_{\lambda}),$$

where  $\sum_{\lambda \in \Lambda}$  is taken in  $L_p(\psi)$  with respect to the  $\sigma(L_p(\psi), L_q(\psi))$  topology  $(q^{-1} + p^{-1} = 1)$ . Since

$$\Phi(X)\Theta(P_{\lambda_0}) = \left[\sum_{\lambda \in \Lambda} \Phi(X)\Theta(P_{\lambda})\right] S_{\lambda_0} = \Theta(X)S_{\lambda_0},$$

it follows that  $\Phi(X)Z' \subset \Theta(X)$  and, taking adjoints, it can be deduced that  $\Theta(X) = Z\Phi(X) = \Phi(X)Z$ . As the composite  $\Phi(X) \circ Z$  is contained in the composite  $Z \circ \Phi(X)$ , Z is seen to be affiliated with the center of  $\mathfrak{B}$ .  $\Phi$  is unique because  $\Phi(P)$  has to be the support of  $\Theta(P)$  for each projection  $P \in M_{r,\phi}$ . To see the uniqueness of Z, let  $Z_1$  be another operator having the same properties as Z. If  $Z_1 - Z \neq 0$ , then by the spectral theorem and Lemma 6, there would be a projection  $P \in M_{r,\phi}$  such that  $(Z_1 - Z)\Phi(P) \neq 0$ . Since  $Z_1\Phi(P) = \Theta(P) = Z\Phi(P)$ , we have  $Z_1 = Z$ . The rest of the theorem follows from well-known results on Jordan isomorphism (cf. [11]). (In the present context, Lemma 4 with P = 2 is Lemma 2 of [6], so the above outline works for P = 2 too.)

COROLLARY 1. Let  $\mathfrak A$  be a finite factor with the canonical trace  $\phi$ , and let  $\Theta$  be a linear isometry from  $L_p(\phi)$   $(1 \leq p < \infty)$  onto itself with  $\Theta(\mathfrak A^+) = \mathfrak A^+$ . Then the restriction of  $\Theta$  to  $\mathfrak A$  is either an automorphism or an anti-automorphism of  $\mathfrak A$ .

COROLLARY 2. Let  $\mathfrak A$  be a type I factor with the canonical trace  $\phi$ , and let  $\Theta$  be a linear isometry from  $L_p(\phi)$   $(1 \leq p < \infty)$  onto itself with  $\Theta[L_p^+(\phi)] = L_p^+(\phi)$ . Then there exists an automorphism or an anti-automorphism  $\Phi$  of  $\mathfrak A$  such that  $\Theta(X) = \Phi(X)$  for every  $X \in L_p(\phi)$ .

PROOF. These corollaries follow readily from Theorem 3.

COROLLARY 3 (cf. [5, Theorem 2]). Let  $\Gamma$  be a linear map from  $\mathfrak A$  onto  $\mathfrak B$ . Suppose for some  $p \in [1, \infty)$ ,  $\Gamma[\mathfrak A \cap L_p(\phi)] = \mathfrak B \cap L_p(\psi)$  and suppose that  $\|\Gamma(A)\|_p = \|A\|_p$  for every  $A \in \mathfrak A \cap L_p(\phi)$ . Then the following statements are equivalent:

- (i) Γ is a Jordan \*-isomorphism of A onto B;
- (ii)  $\Gamma(\mathfrak{A}^+) = \mathfrak{B}^+$  and  $\Gamma(I) = I$ .

PROOF.  $\Gamma$  can be extended to a linear isometry from  $L_p(\phi)$  onto  $L_p(\psi)$ . Thus (ii)  $\Rightarrow$  (i) by Theorem 3 (recall that  $\Phi$  is ultraweakly continuous). The implication (i)  $\Rightarrow$  (ii) is well known [11].

LEMMA 8. Suppose there is a family  $(P_{\lambda})_{\lambda \in \Lambda}$  of mutually orthogonal projections in  $\mathfrak A$  such that  $\sum_{\lambda \in \Lambda} P_{\lambda} = I$  and  $\phi(P_{\lambda}) < \infty$ ,  $\Theta(P_{\lambda}) \in \mathfrak B$  for each  $\lambda \in \Lambda$ . Then there exists a partial isometry  $V \in \mathfrak B$  such that for any projection  $P \in \mathfrak A$  with  $\Theta(P) \in \mathfrak B$  and  $P \leq P_{\lambda_0}$  (for some  $\lambda_0 \in \Lambda$ ), we have  $\Theta(P)V > 0$ .

PROOF. Denote  $\Theta(P_{\lambda}) \equiv U_{\lambda}$ , let  $U_{\lambda} = W_{\lambda} | U_{\lambda}|$  be its polar decomposition, and let  $F_{\lambda}$  [resp.,  $E_{\lambda}$ ] be the initial [resp., final] projection of the partial isometry  $W_{\lambda}$ . By Lemma 4 above,  $U_{\lambda}U_{\lambda'}^* = U_{\lambda'}^*U_{\lambda} = 0$  ( $\lambda \neq \lambda'$ ); so  $U_{\lambda}$ ,  $U_{\lambda'}$  (resp.,  $U_{\lambda}^*$ ,  $U_{\lambda}^*$ ) have mutually orthogonal supports. Thus  $E_{\lambda}E_{\lambda'} = 0$ ,  $F_{\lambda}F_{\lambda'} = 0$ . Let  $V \equiv \sum_{\lambda \in \Lambda} W_{\lambda}^*$ . Clearly  $V \in \mathfrak{B}$  is a partial isometry. Let  $\lambda_0 \in \Lambda$ . Then  $\Theta(P_{\lambda_0})V = U_{\lambda_0}V = |U_{\lambda_0}^*| > 0$  as  $U_{\lambda_0}(1 - F_{\lambda_0}) = 0$ . Let P be a projection in  $\mathfrak{A}$  majorised by  $P_{\lambda_0}$ , and let  $Q \equiv P_{\lambda_0} - P$ . Then  $\Theta(P) + \Theta(Q) = U_{\lambda_0}$  and  $\Theta(Q)^*\Theta(P) = 0$ . Thus  $[\Theta(Q)V]^*$  and  $[\Theta(P)V]^*$  have mutually orthogonal supports. Since  $|U_{\lambda_0}^*| = [\Theta(P)V]^* + [\Theta(Q)V]^*$  is nonnegative, we conclude that  $\Theta(P)V > 0$ .

PROPOSITION 1. Suppose  $\mathfrak A$  is finite  $(\phi \text{ may be semifinite})$  and  $\Theta[\mathfrak A \cap L_p(\phi)] = \mathfrak B \cap L_p(\psi)$ . Then there is a partial isometry  $V \in \mathfrak B$  such that  $\Gamma(\cdot) \equiv \Theta(\cdot)V$  is a linear isometry from  $L_p(\phi)$  into  $L_p(\psi)$  with  $\Gamma[\mathfrak A^+ \cap L_p(\phi)] \subset \mathfrak B^+ \cap L_p(\psi)$ , and  $VV^* = I$ .

PROOF. By Proposition 10 of [9, §6, p. 100], there exists a family  $(P_{\lambda})_{\lambda \in \Lambda}$  of central projections in  $\mathfrak A$  satisfying the conditions of Lemma 8. Let V be constructed as in Lemma 8.

For  $X \in M_{r,\phi}$  and  $\lambda \in \Lambda$ , let  $X_{\lambda} \equiv P_{\lambda}XP_{\lambda}$ , and let  $F_{\lambda}$  be the initial projection of  $W_{\lambda}$  introduced in the proof of Lemma 8. Then X = (weak)  $\sum_{\lambda \in \Lambda} X_{\lambda}$  and  $VV^*\Theta(X_{\lambda})^* = VV^*F_{\lambda}\Theta(X)^*$  (by Corollary 2 of Lemma 4) =  $\Theta(X_{\lambda})^*$ . By Lemma 3,  $VV^*\Theta(X)^* = \Theta(X)^*$ . Since

$$\Theta\big[\mathfrak{A}\cap L_p(\phi)\big]=\mathfrak{B}\cap L_p(\psi)$$

and since  $M_{r,\phi}$  is dense in  $L_p(\phi)$ , we conclude that  $VV^* = I$ . As

$$\|\Theta(X)V\|_p = \|V^*\Theta(X)^*\|_p = \|\Theta(X)^*\|_p = \|X\|_p,$$

 $\Gamma(\cdot) \equiv \Theta(\cdot)V$  is a linear isometry from  $L_p(\phi)$  into  $L_p(\psi)$ . By Lemma 8, it is clear that  $\Gamma[\mathfrak{A}^+ \cap L_p(\phi)] \subset \mathfrak{B}^+ \cap L_p(\phi)$ .

The following theorem "generalizes" Theorem 1 of [5] with a completely different proof.

THEOREM 4. Suppose  $\mathfrak{A}$ ,  $\mathfrak{B}$  are finite  $(\phi, \psi \text{ may be semifinite})$  and  $\Theta[\mathfrak{A} \cap L_p(\phi)] = \mathfrak{B} \cap L_p(\psi), p \in [1, \infty) \setminus \{2\}$ . Then there are a Jordan \*-isomorphism  $\Phi$  of  $\mathfrak{A}$  onto  $\mathfrak{B}$ , a positive selfadjoint operator  $Z \in L_p(\psi)$  affiliated with the center of  $\mathfrak{B}$ , and a unitary  $W \in \mathfrak{B}$  such that  $\Theta(X) = \Phi(X)ZW$ ,  $X \in \mathfrak{A} \cap L_p(\phi)$ .

PROOF. Since  $\mathfrak{B}$  is finite, the partial isometry V in the preceding proposition is unitary (cf. [9, p. 217]) and  $\Gamma(\cdot) \equiv \Theta(\cdot)V$  is onto  $L_p(\psi)$  with

$$\Gamma[\mathfrak{A}^+ \cap L_p(\phi)] = \mathfrak{B}^+ \cap L_p(\psi).$$

A direct application of Theorem 3 then completes the proof.

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